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ABSTRACT

This work examines the impacts of the diurnal radiation contrast on the contraction rate of the radius of maximum wind (RMW) during intensification of Hurricane Edouard (2014) through convection-permitting simulations. Rapid contraction of RMW occurs both in the low and midlevels for the control run and the sensitivity run without solar insolation, while the tropical cyclone contracts more slowly in the low levels and later in the midlevels and thereafter fails to intensify continuously in the absence of the night phase, under weak vertical wind shear (\( \tau \approx 4 \text{ m s}^{-1} \)). The clouds at the top of the boundary layer absorb solar shortwave heating during the daytime, which enhanced the temperature inversion there and increased the convective inhibition, while nighttime destabilization and moistening in low levels through radiative cooling decrease convective inhibition and favor more convection inside the RMW than in the daytime phase. The budget analysis of the tangential wind tendency reveals that the greater positive radial vorticity flux inside of the RMW is the key RMW contraction mechanism in the boundary layer at night because of the enhanced convection. However, the greater positive vertical advection of tangential wind inside of the RMW dominates the RMW contraction in the midlevels.

1. Introduction

“Convective ring theory” (Shapiro and Willoughby 1982; Willoughby et al. 1982) hypothesizes that in response to sustained condensational heating in the tropical cyclone (TC) eyewall updrafts, both contraction of the radius of maximum wind (RMW) and intensification occur. The eyewall convective heating drives a secondary circulation. Assuming a TC is restored toward thermal wind balance, the tangential wind tendency tends to be greater inside of the RMW than at the RMW itself, which is diagnosed using the Sawyer–Eliassen equation. Therefore, the RMW will contract as the TC intensifies. The RMW contraction can be understood as a result of an increasing negative radial gradient of tangential wind tendency inward of the RMW in the kinematic framework used by Stern et al. (2015). Recent idealized numerical simulations of TCs and observations of real cases further show that most of the contraction typically occurs prior to a storm’s primary intensification stage (Stern et al. 2015; Kepert 2017). During the early stage before rapid intensification (RI) onset, the symmetric component of Tropical Storm Earl (2010) was shallow, broad, and diffuse (Rogers et al. 2015). The TC had an asymmetric distribution of convection when it was experiencing moderate shear. The early intensification stage is further identified, which is represented...
by a distinct eyewall contraction in Typhoon Vicente (2012; e.g., Chen et al. 2017). Although contraction and intensification could begin at the same time, contraction ceases long before peak intensity is achieved (Stern et al. 2015).

Observations and numerical simulation studies show that the diurnal radiation contrast can influence TC genesis, intensity, and structure changes (Dunion et al. 2014; Melhauser and Zhang 2014; Tang and Zhang 2016, hereafter TZ16; Navarro and Hakim 2016; Tang et al. 2017, hereafter T17; Navarro et al. 2017; O’Neill et al. 2017). The environmental stability and the intensity of deep moist convection in TCs can be considerably modulated by the diurnal extremes in radiation (Melhauser and Zhang 2014). Furthermore, the responses to the diurnal cycle of net radiation forcing and the impacts on structure and intensity were found to be different in extent and feature throughout the different stages of TCs through comparisons between sets of sensitivity experiments (TZ16; T17). In general, nighttime destabilization of the local and large-scale environment through radiative cooling may promote deep moist convection and increase the genesis potential at the formation stages of TCs (Melhauser and Zhang 2014; TZ16). TZ16 found that during the mature stage of TCs, the net radiative cooling at nighttime mainly increases the convective activity outside of the eyewall that leads to broader/stronger rainbands and larger TC size in terms of the radius of azimuthally averaged surface wind speed of 34 kt (17.5 m s\(^{-1}\)). However, there is no consensus in the literature on the role of the diurnal radiation contrast on TC vortex wind structure and intensity. In particular, it remains unexplored how the diurnal radiation contrast affects RMW contraction during TC intensification, which will be the focus of the current study. Dynamical or thermodynamic explanations for impact of the diurnal radiation contrast on the RMW contraction will be investigated further from a kinematic perspective through analysis of numerical simulations of Hurricane Edouard (2014).

The remainder of this paper is organized as follows. The model settings and experimental design are described in section 2. An overview of Edouard (2014) and the associated numerical simulation are given in section 3. Radiative effects on the RMW contraction rate during intensification will be presented in section 4. Finally, a discussion and the conclusions follow in section 5.

2. Model settings and experimental design

The Advanced Research version of the WRF Model (ARW; Skamarock et al. 2008) was employed to perform a control simulation (CNTL) and sensitivity experiments. The model domains are triply nested through two-way nesting with horizontal resolutions of 27, 9, and 3 km (Fig. 1a). The two inner domains (D02 and D03) are vortex following. The following physics parameterizations were used in this study: the Dudhia shortwave radiation scheme (Dudhia 1989), the Rapid Radiative Transfer Model (RRTM) longwave radiative scheme (Mlawer et al. 1997), the WRF single-moment 6-class microphysics scheme (Hong and Lim 2006), the Yonsei University (YSU) scheme for the planetary boundary layer (BL; Hong et al. 2006), the Yonsei University (YSU) scheme for the planetary boundary layer (BL; Hong et al. 2006), and the Grell–Freitas cumulus scheme (Grell and Freitas 2014) in the outermost 27-km mesh. The 1D ocean mixing layer model (Pollard et al. 1972) coupled with WRF was also employed. The details of the model physics configuration and initialization processes can be found in Zhang and Weng (2015), Weng and Zhang (2016), and Munsell et al. (2017). An endless daytime simulation with the solar insolation set at local noon (ConstSolarRad) and an endless nighttime simulation with no solar insolation (NoSolarRad) are conducted, starting at 48 model integration hours of the control simulation (the ConstSolarRad48h and NoSolarRad48h experiments in TZ16, their Table 1), which includes the focused process of RMW contraction in the CNTL experiment (Fig. 1d).

The TC center was defined as the centroid of sea level pressure in the following analysis, which was calculated within a circular region representing the size of the TC inner core. The pressure centroid represents the storm center well, especially for weaker and more asymmetric TCs, since the method consistently places the TC center within the region of weak storm-relative wind and produces a smooth variation of vortex tilt in magnitude and direction (Nguyen et al. 2014). Therefore, this method for identifying the TC center was recommended to be adopted in the study for early development and intensification stage of TC (Chen et al. 2017, 2018).

3. Overview of Edouard (2014) and the associated numerical simulation

Edouard was designated as a named tropical depression over the far eastern tropical Atlantic by 1200 UTC 11 September 2014 (Stewart 2014; Figs. 1b,c). While it moved to the northwest, over the period from about 0000 UTC 14 September to 0000 UTC 15 September, the maximum 10-m sustained winds increased by 25 kt (12.9 m s\(^{-1}\)). Edouard reached a peak intensity of 54 m s\(^{-1}\) as a major hurricane at 1200 UTC 16 September. The control run replicates the general features of development in all stages of the lifetime mentioned above,
including the processes of RMW contraction and intensification (Figs. 1b–d). Although the intensifying rate does not meet the NHC criteria (15.4 m s$^{-1}$ in 24 h) of RI in the best track data, 1200 UTC 14 September can be considered as RI onset according to the time evolution of maximum 10-m wind speed of CNTL (Fig. 1b). Moreover, the storm intensity increases nearly 30 m s$^{-1}$ over the succeeding 48 h in CNTL (Fig. 1b). The RMW of CNTL and NoSolarRad both began to contract rapidly 24 h before RI onset, consistent with that from the best track data, while the ConstSolarRad shows a larger fluctuation in RMW after 0000 UTC 14 September (Fig. 1d). Moreover, rapid contraction of the RMW occurs both in the low and midlevels for NoSolarRad (Figs. 2a,c), while the RMW contracts more slowly in the low levels and later in the midlevels and thereafter fails to intensify continuously in ConstSolarRad (Figs. 2b,d). The following section will explain the impact of the diurnal radiation contrast on the RMW contraction and intensification of Edouard, using the two sensitivity experiments of NoSolarRad and ConstSolarRad for clean comparisons.

4. Radiative effects on eyewall contraction and RI

a. Radiative impacts on convection

The most vigorous and intense convection and vertical updrafts are found between 30- and 90-km radii in NoSolarRad (Fig. 2c). Figure 3 shows that in this area there were fewer clouds at the heights of 3–10 km before the RI onset (Figs. 3a and 3b), while relatively more low clouds existed at the top of the BL$^1$ than those in the midlayers. The clouds at the top of the BL absorbed

\[ \text{FIG. 1. (a) Model domain setup, (b) maximum 10-m wind speed (m s}^{-1}), (c) tracks, and (d) radius of maximum azimuthal-mean 10-m wind speed evolutions for control simulation (red line) and sets of sensitivity experiments [see text for details; solid (dashed) blue lines for NoSolarRad (ConstSolarRad) experiments], with comparison of NHC best track [black lines in (b) and (c)] or IBTrACS data [black line in (d)]. The period is from 1200 UTC 11 Sep to 1800 UTC 16 Sep 2014 (0–126 h). The circles in (c) denote the location every 6 h. The gray dashed line in (b) and (d) denotes the RI onset in the control run and NoSolarRad roughly.}\]
solar shortwave radiation at daytime and heated this level most (Fig. 3c), while longwave radiation produced some cooling there (Fig. 3f). Consequently, net radiation more significantly heated the BL top in ConstSolarRad (Fig. 3e); the 18-h integrated contribution of which to potential temperature change is shown in Fig. 4. The vertical gradient of net radiative heating across the BL top resulted in the increasing potential of capping inversion layer and increased convective inhibition, preventing moist convection initiation (Fig. 5). Moreover, a net upper-tropospheric warming in ConstSolarRad is also responsible for stabilized tropospheric column because of the continuous solar shortwave radiation (Figs. 3c,e and 4). It suppresses the convective potential and decreases the depth of the vertical upward motion and then reduces the latent heat release (Figs. 6b,c; TZ16). In contrast, net radiative cooling occurred without the solar radiative heating in the NoSolarRad experiment (Fig. 3d), which increased relative humidity and reduced stability between ~3.5 and 6.5 km in the middle troposphere, enhancing the development potential of deep moist convection (Figs. 4 and 5). Additionally, 12–18 h of net radiative cooling results in lower potential temperature and greater relative humidity at mid- to upper levels in NoSolarRad than in ConstSolarRad (Fig. 6a), which further enhances deep moist convection and related latent heat release (Figs. 6b and 6c). The latent heating drives the RMW contraction and intensification, which was especially significant in NoSolarRad from 0600 to 1200 UTC 14 September (66–72 h). After 72 h, high- to mid-level clouds increase rapidly with the convection and associated updrafts, with the majority of net radiative cooling located at the top of high clouds (13–15 km) in NoSolarRad.
The related thermodynamics and dynamics are investigated in the next subsection.

Without solar insolation, NoSolarRad had lower surface air temperatures (Fig. 7a), so after 0000 UTC 14 September, a greater difference between the air and sea surface temperatures results in greater surface fluxes of latent heat and sensible heat, which further decreased convective inhibition and enhanced the WISHE feedback among the surface fluxes, convection, and secondary circulation and accelerated the tangential wind at low levels (Emanuel 1986; Figs. 7b–e). It has been found in previous studies that the RI onset of TC was triggered by convective bursts (CBs) in the eyewall, which penetrated into the upper troposphere (Chen and Zhang 2013; Wang and Wang 2014). The impact of the diurnal radiation contrast on CBs is also investigated here. The results were consistent in general, although there have been different definitions of CBs in the literature (e.g., Chen and Zhang 2013; Wang and Wang 2014; Wang and Heng 2016). Therefore, we focus on the results with CBs, which are defined as the grid points where the maximum vertical velocity is at least $5 \text{ m s}^{-1}$ between 11 and 15 km.

Figure 8 shows that most CBs occurred within 50–160-km radius, with more than one concentrated radial area in NoSolarRad during the period from 1300 UTC 13 September to 0000 UTC 14 September (49–126 h). ConstSolarRad only had a little difference with NoSolarRad at the above period. However, in NoSolarRad, the areal percentage of CBs increased inside 110-km radius and decreased outside of 135-km radius during the period from 0000 to 1200 UTC 14 September (60–72 h), which resulted in a
quasi-normal distribution that peaked at about 75-km radius. The increased CBs inside the radius of about 60 km occurred at not only the downshear-left quadrant but also the upshear-left quadrant (cf. Fig. 10c). The inward shift of CBs with the intensification of TC was consistent with previous observations (e.g., Rogers et al. 2016). In ConstSolarRad, the CBs also increased near the 105-km radius but decreased inside 70-km radius, which resulted in much fewer CBs inside 90 km than in NoSolarRad. The difference of CBs between the two experiments was relatively smaller at outer core (outside of about 130 km; Fig. 8), because the convective inhibition was very small at the outer core and convection was easy to develop at the downshear quadrants (Fig. 7e). The detailed process will be shown in the next subsection, through which the difference of CB distribution leads to the difference of eyewall contraction between the two experiments.

b. Dynamics of RMW contraction

The radiation-induced difference in convection between the two sensitivity experiments of NoSolarRad
and ConstSolarRad influenced both the storm structure and intensity evolution at the stages before RI onset and during RI simultaneously (Fig. 1). From 0000 to 1200 UTC 14 September (60–72 h), the RMW of CNTL and NoSolarRad continued to contract significantly, while that of ConstSolarRad did not continuously contract after 0600 UTC 14 September (66 h) and was greater than in NoSolarRad and CNTL (Fig. 1b). The dynamics of the radiation-induced differences of TC eyewall contraction are analyzed here in detail.

The necessary condition for RMW contraction is the negative radial gradient of the time tendency of tangential wind at the RMW following Stern et al. (2015); that is, the time tendency of tangential wind is greater inside RMW than that outside. We performed a budget analysis of the tangential wind tendency to address two issues: 1) which processes induced the difference of eyewall contraction between the two experiments and 2) whether these processes were different between low and midlevels, following

\[
\frac{\partial \psi}{\partial t} = -\pi (f + \xi) - w \frac{\partial \psi}{\partial z} - \frac{\partial u}{\partial z} - \frac{\partial v}{\partial z} + F, \tag{1}
\]

which is the same equation as Eqs. (1) and (2) in T17. The storm-relative radial, tangential, and vertical components of velocity in cylindrical coordinates are given by \(u, v, \) and \(w,\) respectively; \(\xi\) is the vertical components of relative vorticity; \(f\) is the Coriolis parameter; and \(z\) is height. The azimuthal average and the departure from it (or eddy) are denoted by the bar and prime, respectively. The first four terms on the right-hand side of Eq. (1) are the mean radial flux of absolute vertical vorticity, the mean vertical advection of mean tangential wind, the eddy radial vorticity flux, and the eddy vertical advection of tangential wind, respectively. The last term \(F\) represents subgrid-scale processes in the numerical model comprising both diffusive and surface-layer processes.

The most distinct difference of the RMW contraction between NoSolarRad and ConstSolarRad occurred
from 60 to 72 h (Figs. 1d and 2). The height–radius plot of the tangential velocity budget analysis, averaging between 0000 and 1200 UTC 14 September (60–72 h), is shown in Fig. 9. In NoSolarRad, the RMW contracted larger than in ConstSolarRad during the period (Fig. 9). The maximum of tangential wind tendency collocated with the ending RMW (Fig. 9a). The sum of mean radial flux of absolute vertical vorticity and eddy radial vorticity flux contribute greater tangential wind tendency inside the RMW than outside in BL, so it induces the RMW contraction (Fig. 9c). The sum of mean and eddy vertical advection of tangential wind was much smaller around the RMW below 1 km (Fig. 9e). Specifically, the radial eddy vorticity flux contributed greater positive tangential wind tendency inside the RMW than outside (Fig. 9g). Therefore, the process of radial eddy vorticity flux contributed partially to the RMW contraction below 1 km during the period. In comparison with NoSolarRad, the process of radial vorticity flux also played the same role below about 1 km in ConstSolarRad, although the contribution was smaller (Figs. 9d,h). The other terms did not contribute to RMW contraction positively in BL, either (Figs. 9b,f).

The budget analysis of tangential wind tendency pinpoints that the radial eddy vorticity flux contributes partially to the RMW contraction in low levels. To further identify the cause of different behavior of the eddy vorticity flux in the low level between the two experiments, the horizontal cross sections of the radial eddy vorticity flux at the height of 250 m averaged between 0000 and 1200 UTC 14 September (60–72 h) were shown for NoSolarRad (Fig. 10a) and ConstSolarRad (Fig. 10d). NoSolarRad (Fig. 10a) shows some stronger positive eddy vorticity flux in the downshear-left quadrant inside the RMW of about 60 km than ConstSolarRad (Fig. 10d). The shear was about 4 m s\(^{-1}\) and southwesterly, which was close to the observation (Fig. 2 in Zawislak et al. 2016). The configuration of eddy radial flow and eddy vorticity, which are the two components of eddy vorticity flux, are both crucial to determining the eddy vorticity flux. As can be seen, the maximum positive eddy vorticity and accompanied eddy radial inflow are both stronger in the downshear-left quadrant inside the RMW in NoSolarRad than those in ConstSolarRad (Figs. 10b,e). The stronger eddy vorticity and eddy inflow in NoSolarRad inside RMW were related to more CBs there than in ConstSolarRad (Figs. 10c,f). The deep convection occurring at not only the downshear-left quadrant but also the upshear-left quadrant in NoSolarRad was similar to the observation in the period (Fig. 6 in Zawislak et al. 2016). In contrast, deep convection remained in the downshear quadrant outside of RMW and did not propagate upshear in ConstSolarRad (Fig. 10f), so the TC was less likely to experience RMW contraction and RI. This has been hypothesized in an observational study (Rogers et al. 2016) and modeling study (Leighton et al. 2018).

Which processes dominate the difference of RMW contraction between the two experiments in the midlevels (~3–9 km)? The mean radial flux of absolute vertical vorticity was very small at midlevels in both experiments before RI onset (Figs. 9c,d). The radial eddy vorticity flux was mostly negative inside of RMW in NoSolarRad and ConstSolarRad (Figs. 9g,h). It is found that the process of the sum of mean and eddy vertical advection of tangential wind contributed significantly to the RMW contraction in the midlevels in NoSolarRad, because the term is positive and greater inside of the RMW (Fig. 9e). In comparison, the term is smaller in ConstSolarRad, although it is positive inside of the RMW (Fig. 9f). The greater vertical advection of tangential wind at the midlevels inside of RMW in NoSolarRad was related to both the greater vertical updraft and greater low-level tangential wind than that in ConstSolarRad (Figs. 2, 3a,b). The greater vertical updraft in NoSolarRad was directly associated with the more active convection (Figs. 8, 9a, and 10). The greater low-level tangential wind in NoSolarRad was achieved gradually through the stronger symmetric and asymmetric spinup (Figs. 9c,g), which also
benefited from the strengthened convection inside the RMW.

5. Discussion and conclusions

This work examines the sensitivity of RMW contraction of a TC to the diurnal radiation contrast through high-resolution convection-permitting full-physics simulations of Hurricane Edouard (2014) using the WRF Model. A set of two sensitivity experiments with either endless nighttime (no solar radiative forcing) or endless daytime (persistent maximum solar shortwave forcing) during the early intensification are designed to isolate the varying roles of the diurnal radiation contrast to the
RMW contraction. A comparison of the two sensitivity runs shows that the RMW contraction during intensification may be highly sensitive to the diurnal radiation contrast.

The result of ConstSolarRad implies that the short-wave radiative heating over the 12 h of daytime on the BL top is larger in magnitude than the cooling from the longwave radiation during the early intensification stage of TC, which increases the stability near the BL top and suppresses the development of moist convection. However, the integrated net radiative cooling over 12 h of nighttime potentially decreases the BL top potential temperature and increases the development potential of moist convection. Once the deep convection is triggered, the mid- to upper troposphere is moistened, which is conducive to more active convection and latent heat release (Fig. 6). The enhanced surface fluxes of latent and sensible heating due to the WISHE feedback mechanism will also enlarge the difference in the strength of convective and latent heating inside the RMW between the daytime and nighttime.

In the environment of weak vertical shear, most CBs increased inside of the RMW both in the downshear-left quadrant and in the upshear-left quadrant with the intensification in NoSolarRad. Conversely, the CBs were fewer and confined to the downshear quadrant outside of the RMW in ConstSolarRad.

The tropospheric column is constantly stabilized in the ConstSolarRad experiment, because of the solar short-wave radiative heating in the upper troposphere significantly. It can also curtail the potential and depth of vertical upward motion and some of the latent heat releasing ultimately. Therefore, the secondary circulation of TC is weakened at the intensification stage. The mechanism is consistent with the previous findings in TZ06 and T17.

The budget calculation of tangential wind tendency reveals that the sum of mean radial flux of absolute vertical vorticity and eddy radial vorticity flux contributes to the RMW contraction during the early intensification in low levels. Inside of the RMW, maximum positive eddy vorticity and accompanied eddy radial
inflow induced by deep convection in the downshear-left and upshear-left quadrants resulted in the greater eddy vorticity flux in NoSolarRad. In contrast, the radial eddy vorticity flux was weaker in ConstSolarRad, because of the suppressed convection. The dominant process controlling the RMW contraction in the midlevels was the greater positive vertical advection of tangential wind inside of the RMW. In NoSolarRad, the more vigorous convection inside of the RMW not only enhanced the vertical updraft but also strengthened the low-level tangential wind and vertical gradient of that between low and midlevels consequently. Conversely, the corresponding terms were smaller because of the weaker convection in ConstSolarRad. Therefore, the RMW contracted much less at the low levels and midlevels in ConstSolarRad than in NoSolarRad.

In this study, SSTs are mostly unaffected by the imposed permanent changes in radiation that should continuously warm or cool the ocean, which would have increasing impacts on TC through altering surface fluxes. The current study focuses on the impacts of diurnal radiation contrast to the atmospheric processes only, though there are some influences from the air–sea interaction and feedback through the 1D ocean mixing layer model and WISHE. The impact of ocean variation due to diurnal radiation contrast on the TC should be further investigated.

The boundary layer and entrainment zone are critical to convection initiation and sensitive to the diurnal radiation cycle, so future work is planned to test how these results depend on different representations of cloud–radiative processes using other pairings of radiation, planetary boundary layer, and microphysics schemes. It is recommended that the diurnal cycle of TC size in terms of the RMW or other metrics needs to be investigated further using more observations and simulations. It is meaningful to further test if the chaotic nature of the atmosphere will influence the robustness of the results (Judit et al. 2016; Potvin et al. 2017).

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